



AKADEMIA GÓRNICZO-HUTNICZA
IM. STANISŁAWA STASZICA W KRAKOWIE

ALS Airborne laser scanning – backgrounds

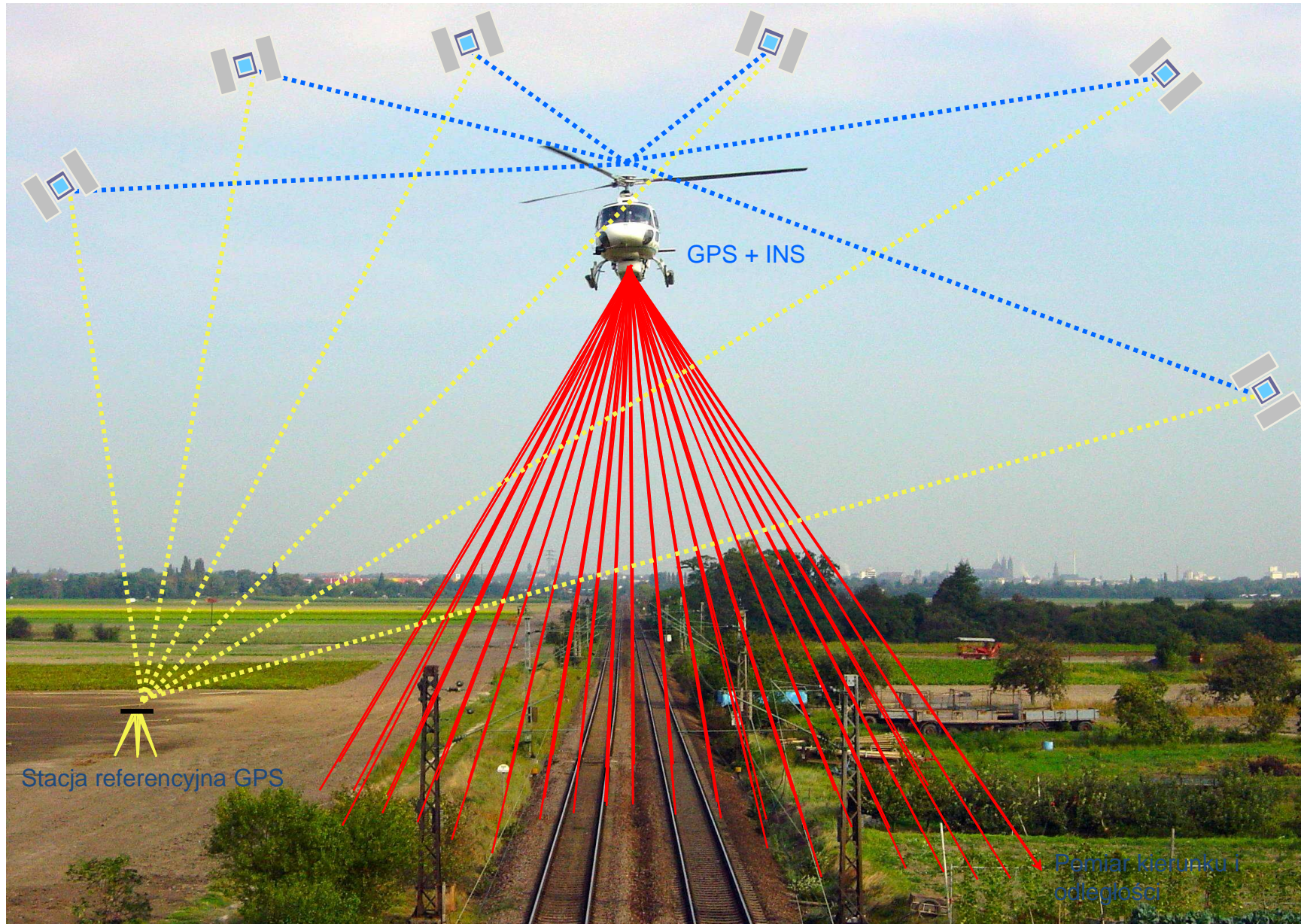
AGENDA

- Function basis
- Construction of scanning system
- Basic scanning parameters
- Commercial scanners
- Advantages and disadvantages
- Efficiency and costs
- Source of errors and accuracy
- Applications
- Steps on the project realization
- Basic ALS products



FUNCTION BASIS

- Laser beam is emitted perpendicular to the flight trajectory. Direction and distance is measured.
- To position determination GPS and INS is applied
- Combinning of the data from laser rangefinde and GPS/INS allows for points cloud generation of spatial coordinates: X,Y,Z



Przedmiot: Podstawy skaningu lotniczego i naziemnego

Urszula Marmol



ALS data



Section of City of Pavia, Italy



CONSTRUCTION OF ALS

Airborne part

- distance measurements system:
 - Laser rangefinder
 - receiver
- positioning system GPS
- navigation system INS
- photogrammetric camera (video)
- planning and management system

Terrestrial part

- terrestrial, reference GPS station
- workstation for laser data processing



LASER RANGEFINDE

Laser *Light Amplification by Stimulated Emission of Radiation* (light enhancement by forced radiation emission).

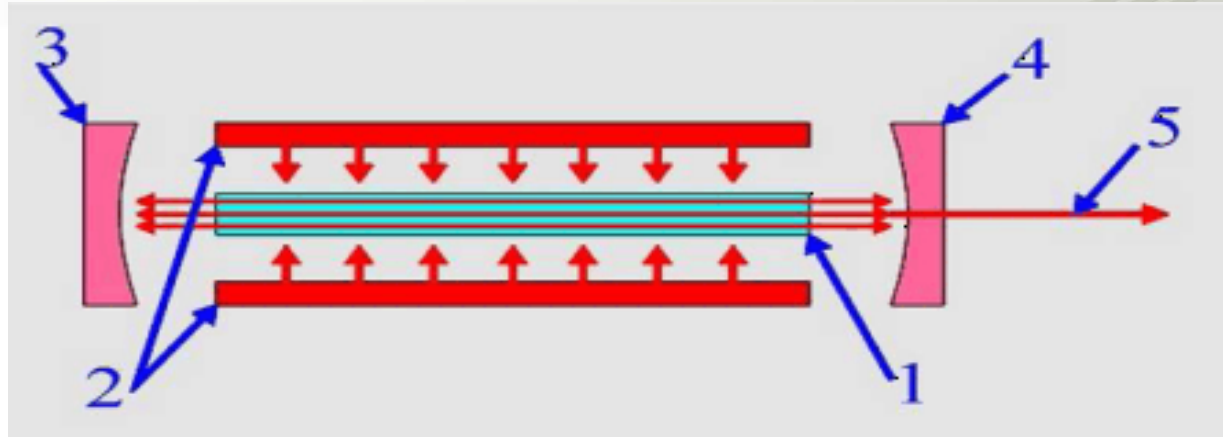
Laser not only enhance the light but also emitt it



FEATURES OF LASER LIGHT

- Very high rate of monochromacy, width of the line of $\Delta\nu$ tenths of Hertz rzędu dziesięciu herców
- Big focusing of the light, limited only by diffraction effect on the laser outside window
- Large intensity of radiation, in impuls activity can be $10^{12} - 10^{13} W$
- Possibility of the generation of very short light impulses (till $10^{-14}s$)

ELEMENTS OF LASER



- *Active medium*, solids, gas or fluid (1)
- *Optical system* – laser is closed by two mirrors from both sides, one of them is usually permeable (3,4)
- *Pump system* – external energy supplying is necessary for functionality of the laser (2)



ACTIVE MEDIUM

Forced emission:

As it is known, emitted photon as a result of forced emission has the same polarisation and frequency as photon forcing photon. For the laser action initialisation forcing photon must have proper energy equal the forcing medium energy. It also known the atoms in basic state absorb forcing photons. So for the laser activity process of forced emission must dominante the process of photons absorption.

Inversion of energetic levels location

Inwersja obsadzeń poziomów energetycznych:

It takes place when there are in medium more photons in forced state then in basic one. Main features of laser parameters depends on active medium what decides about the wavelenght, intensity, way of pumping and possible applications.



POMPING SYSTEM

The main task of the pumping system is to move as many as possible photons in active medium to the forced state. The system must be so efficient to create inversion of location in the active medium. Pumping, i.e. delivering the energy to the laser , can be performed on different ways, depending on the active substance in laser.

The most famous:

- Pumping by flash of flash lamp,
- Pumping by flash of other laser,
- pumping by electric current in gas,
- Pumping by chemical reaction,
- Pumping by atoms collision,



OPTICAL SYSTEM

Active medium is treated as electromagnetic wave generator. Optical system play feedback role, i.e. interaction output signal on input signal, for chosen frequencies, so the generated light has unique frequency.

Optical system is composed usually of two mirrors, one partly permeable. Precisely made and properly installed mirrors become the resonator of determined frequency and movement direction.

Therefore only the photons for them optic system is a resonator are running many times in active medium, causing emission of the other coincident with them photons. Other photons disappear.



LASER ARE CLASSIFIED ACCORDING THE ACTIVE MEDIUM

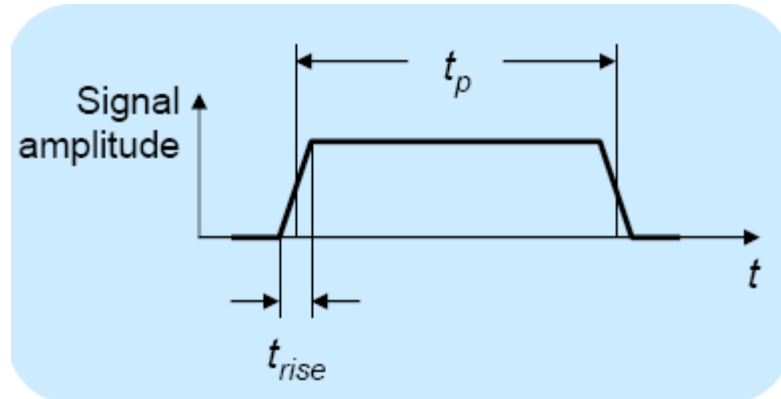
- Gas laser (*He – Ne* hel - neon, *Ar* argon (jon), on Co_2 , CO)
- Solid laser Lasery (rubinowy, neodymowy na szkle, - neodymowy na YAG-u (granat itrowo – aluminiowy - *Nd : YAG*) - erbowy na YAG-u, tulowy na YAG-u, holmowy na YAG-u, tytanowy na szafirze)
- Fluid laser – pigmental, active medium are pigments dissolved in non active transparent medium
- Semiconductive laser
 - linked – on volume material, on well quantum, on quantum dots
 - No linked – quantum cascade laser



AIRBORNE LASER RANGEFINDER

- semiconductive lasers or lasers on solid (neodymowy na YAG-u – Nd:YAG)
- najczęściej występuje neodymowy Nd:YAG (*ang. Yttrium Aluminum Garnet*) – granat itrowo – aluminiowy
- most often in NIR (1064 nm)
- bathymetric lasers in VIS (523 nm – green)
- others: 810 nm (ScaLARS), 900 nm (FLI-MAP), 1540 nm (TopoSys)
- kind: impuls or continuous laser (CW – *ang. continuous wave*)
- Main features: large beam coherence, large intensity

IMPULS LASER



Impuls width:

$$t_p = 10 \text{ ns } (\rightarrow 3 \text{ m})$$

Power:

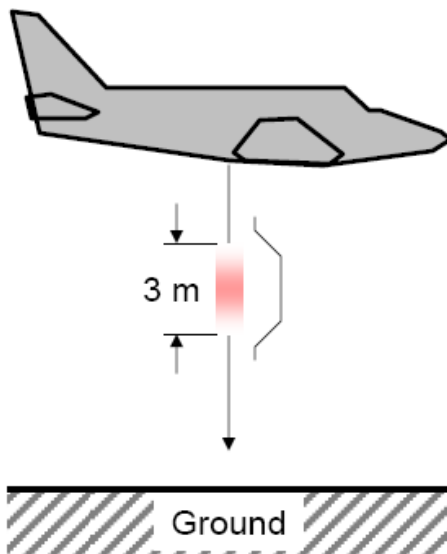
$$P_{\text{peak}} = 2000 \text{ W}$$

Impuls energy:

$$E = P_{\text{peak}} t_p = 20 \mu\text{J}$$

Mean power: (dla $F=10\text{kHz}$)

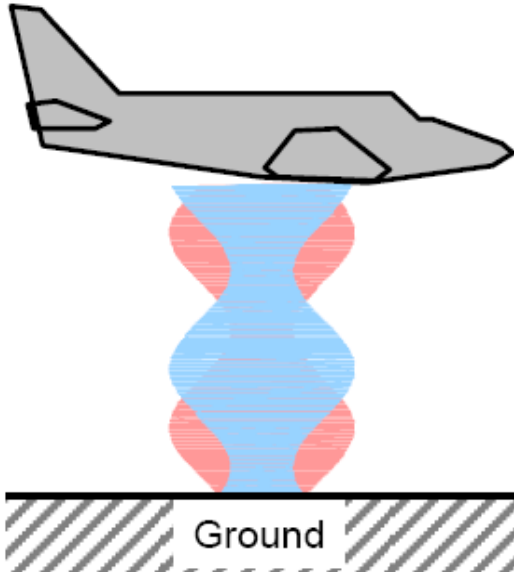
$$P_{\text{av}} = E \cdot F = 0.2\text{W}$$



[Brenner 2006]



CW LASER



[Brenner 2006]

Characteristic (ScaLARS):

- two frequencies:

$$f_{\text{high}} = 10 \text{ MHz}, f_{\text{low}} = 1 \text{ MHz}$$

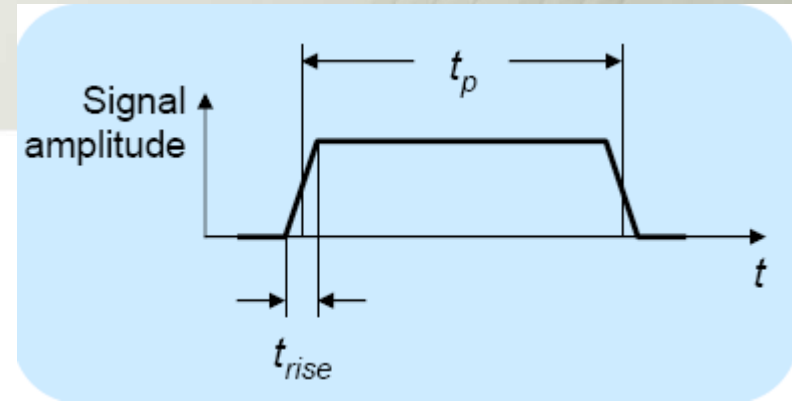
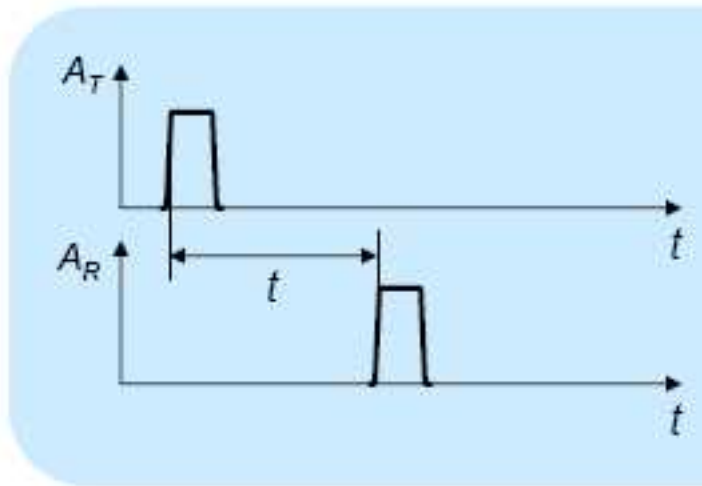
$$\lambda_{\text{short}} = 30 \text{ m}, \lambda_{\text{long}} = 300 \text{ m}$$

- mean power:

$$P_{\text{av}} = 0.26 \text{ W}$$



IMPULS LASER



- Range Distance between sensor and object

$$R = \frac{c}{2} \cdot t$$

- Range resolution Resolution of distance determination

$$\Delta R = \frac{c}{2} \cdot \Delta t$$

- Range accuracy Accuracy of distance determination

$$\sigma_R \propto \frac{c}{2} t_{rise} \cdot \frac{1}{\sqrt{S/N}}$$

Symbols:

c – light speed

t – time of the single impuls

Δt – time resolution of measurements

t_{rise} – time of impuls increasing from 10% to 90%

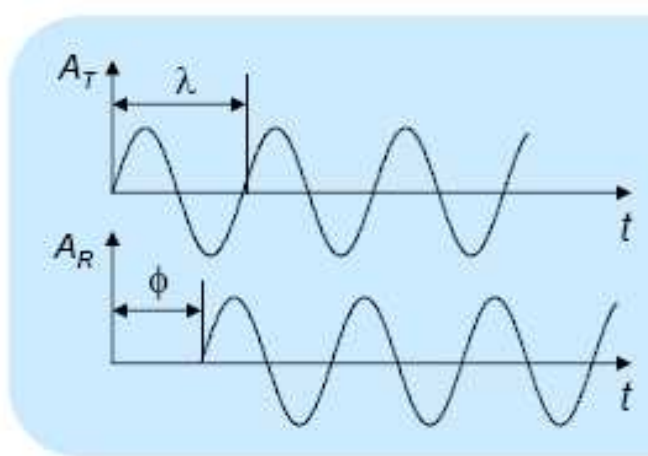
S/N – signal to noise ratio

[Brenner 2006]



CW LASER

A



- Range

$$R = \frac{1}{2} \cdot \frac{\phi}{2\pi} \cdot \lambda_{\text{short}}$$

- Range resolution

$$\Delta R = \frac{\lambda_{\text{short}}}{4\pi} \cdot \Delta\phi = \frac{c}{4\pi} \cdot \frac{1}{f_{\text{high}}} \cdot \Delta\phi$$

- Range accuracy

$$\sigma_R \propto \frac{\lambda_{\text{short}}}{4\pi} \cdot \frac{1}{\sqrt{S/N}}$$

symbols:

λ_{short} – short wave length

λ_{long} – long wave length

Φ – phase difference between signal sent and received

$\Delta\Phi$ – phase resolution

[Brenner 2006]

IMPULS LASER – CHARACTERISTIC

Time of single impuls :

$$t_{travel} = \frac{2R}{c}$$

Example:

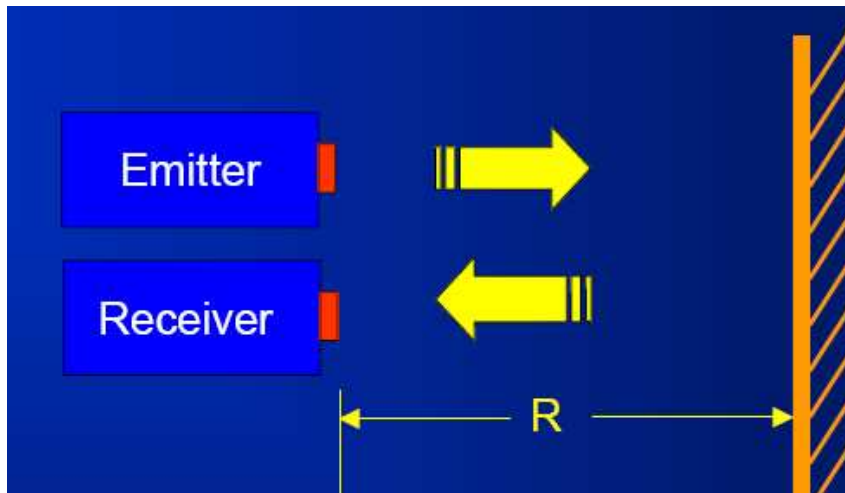
$$R = 1000\text{m} \rightarrow t_{travel} = 6.7\mu\text{s}$$

Maximal impuls frequency:

$$f_{max} = \frac{1}{t_{travel}} = \frac{c}{2R}$$

Example:

$$R = 1000\text{m} \rightarrow f_{max} = 150\text{kHz}$$



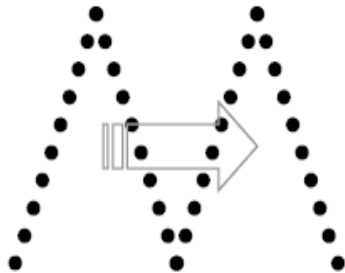


SCANNING MECHANIZM

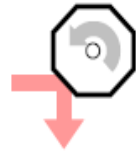
Oscillating mirror



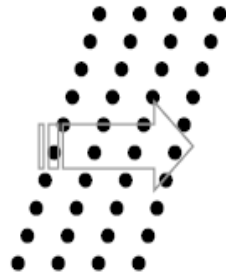
Z-shaped, sinusoidal



Rotating polygon



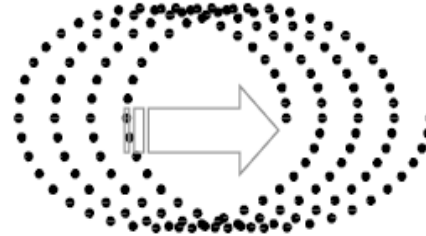
Parallel lines



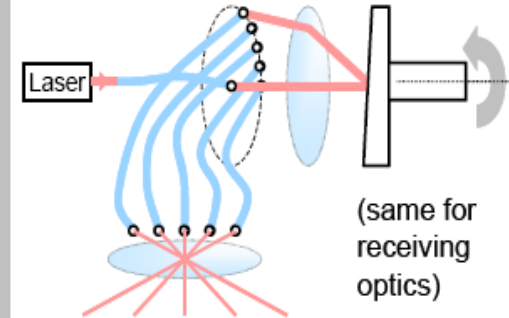
Nutating mirror (Palmer scan)



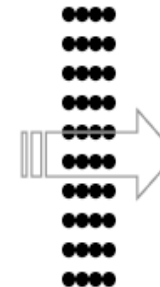
"Elliptical"



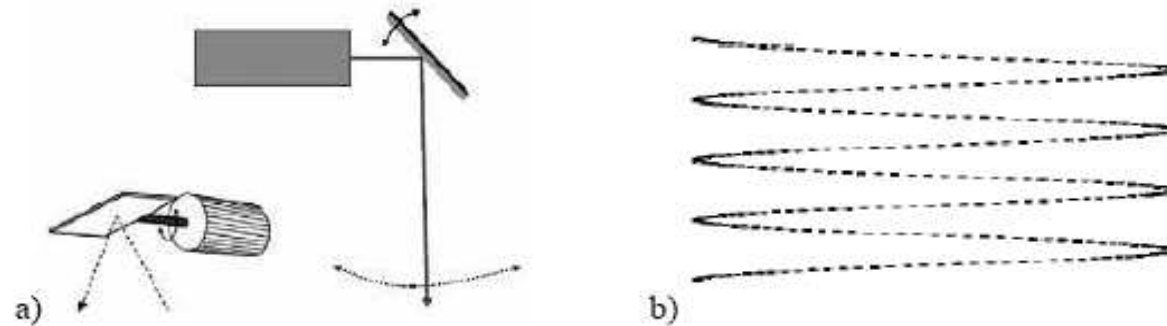
Fiber switch



Parallel lines

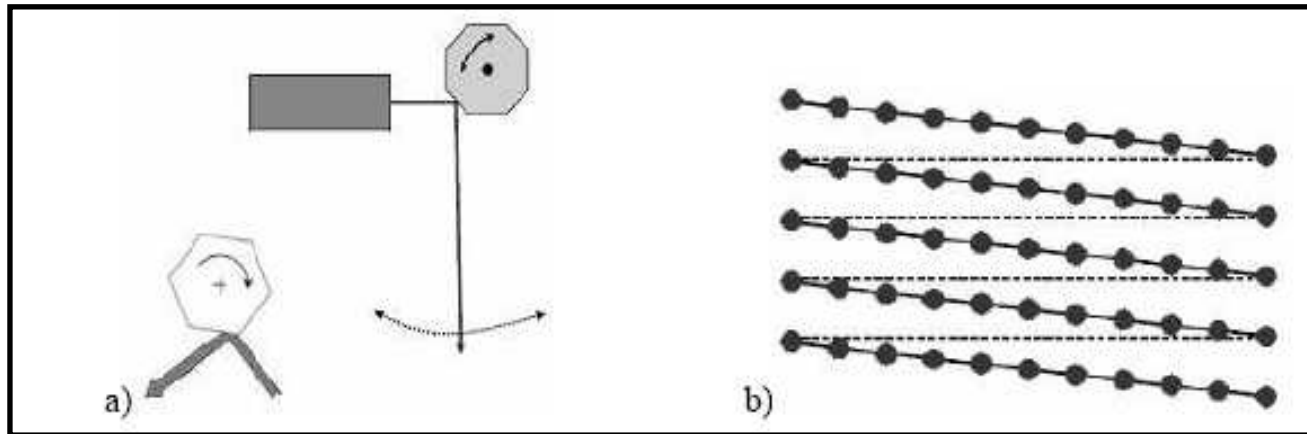


Mechanism of pendulum mirror



Beams are projected by mirror moving around its symmetric axis. Inclination grade decides on reflection beam. This scanning way is flexible from angle change and scanning speed, but also non homogenic if we are talking about the laser beam dispersion in scanning line. When the maximum mirror inclination is reached rotation speed decrease, what cases more density of measurements points.

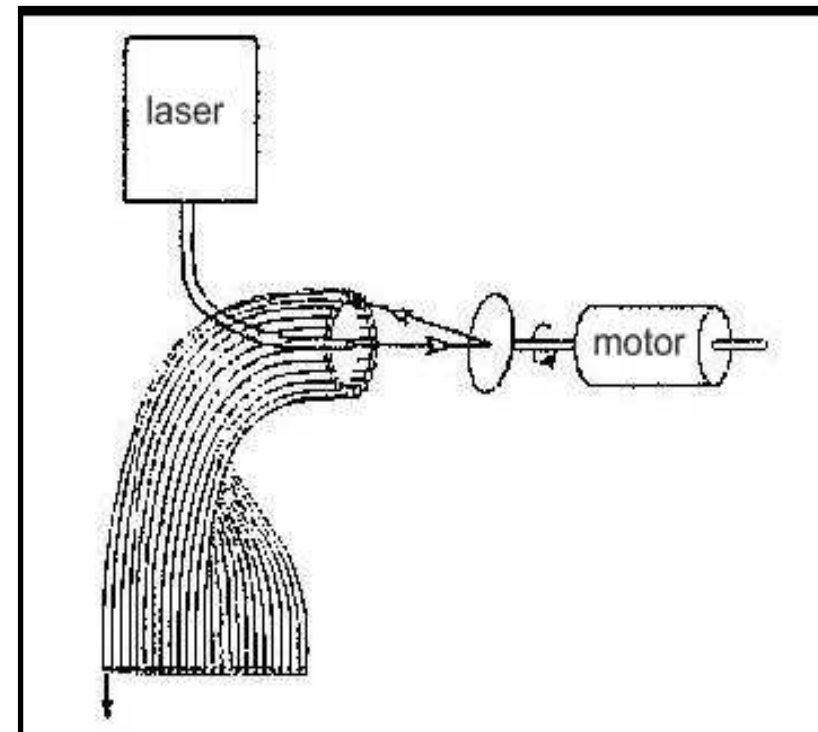
Mechanizm of rotating polygon



Beams are projected by polygon spape mirror moving around its symetric axis. Mirror makes monotonous movement, without direction changing, what eliminates non homogeneous points distribution.

Mechanism using optic fibre

Beams are projected by polygon shape mirror moving around its symmetric axis. Mirror redirect them to waveguide composed from optic fibre placed in circle shape. At the end of the channel leading laser light – waveguide, optic fibres in the same plane, and the way of their placement allows for possibility of proper incident beams' angles





POSITION SYSTEM BASED ON FLY TRAJECTORY APPLYING GPS

Flying directory is determined by GPS, using DGPS

It bases on the application of terrestrial station, acled reference station standing above the point with known coordinates. Station calculates error vector describing difference between the known and calculated coordinates. This information are sent to the GPS on the aircraft what makes the correction of its position. Finaly scaner location on the aircraft can be determined with accuracy not worse then 10 cm.



INS inertial navigation system

INS determines location, speed, orientation and angle speeds scanning platform (aircraft, helicopter) measuring linear and angle of acceleration in 3 platform axes what is in movement. System is composed from very sensitive gyroscopes and accelerators determining state of the system. Gyroscopes determine inclination angles of the platform. Accelerators determine its speeds in each direction. Integration of the two equipments INS is able to determine trajectory of the aircraft with the error below then 2 cm and the angles of scanning equipment.



GPS INS INTEGRATION

GPS and INS is characterised by different and complementar error propagation causing advantages of two systems: stability of GPS and precision of INS.

INS delivers temporary data, what are corrected on the base on the data from GPS. It eliminates cummulative errors and causes accurace of flying trajectory about 2 cm.



PHOTOGRAMMETRIC CAMERA OR/AND VIDEO

Photogrammetric or/and video camera can be linked with the laser scanner. For data synchronization, each photo and video frame has registered the number and accurate time of acquisition. Video images are usefull for land cover interpretation. Wheras airborne images are used in classification process and ortophoto generation.



TERRESTRIAL SEGMENT

- **Terrestrial reference GPS station**

- **Work station for data processing**

Work station is composed of computer platform of a big computation power, necessary for large size of the data. It integrates all acquired in measurements information:

- Laser data
- Video tapes or photogrammetric images
- GPS data from moving receiver
- GPS data from reference terrestrial station

SCANNING ANGLE

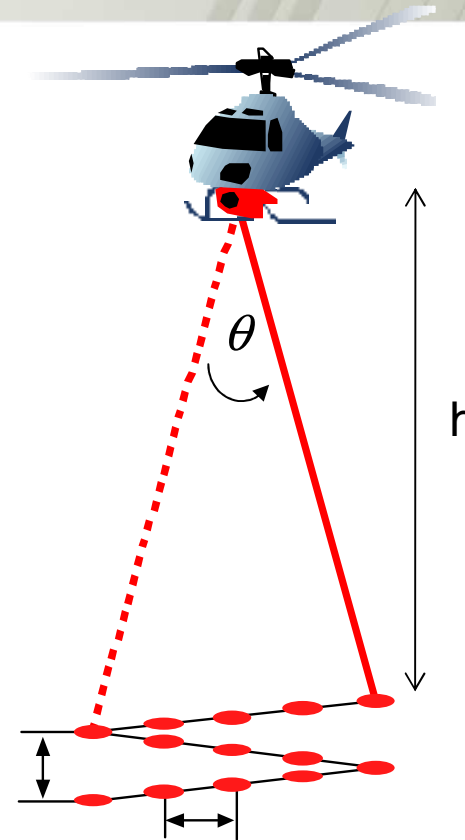
θ (FOV- Field of View)

Typical values $10^\circ - 60^\circ$ (max. 75°)

Small angles applied for shadows elimination

Width of skaning strip

$$SW = 2h \cdot \operatorname{tg}\left(\frac{\theta}{2}\right)$$





FREQUENCY

Impuls frequency – F

Typical values $F = 100 - 250$ kHz

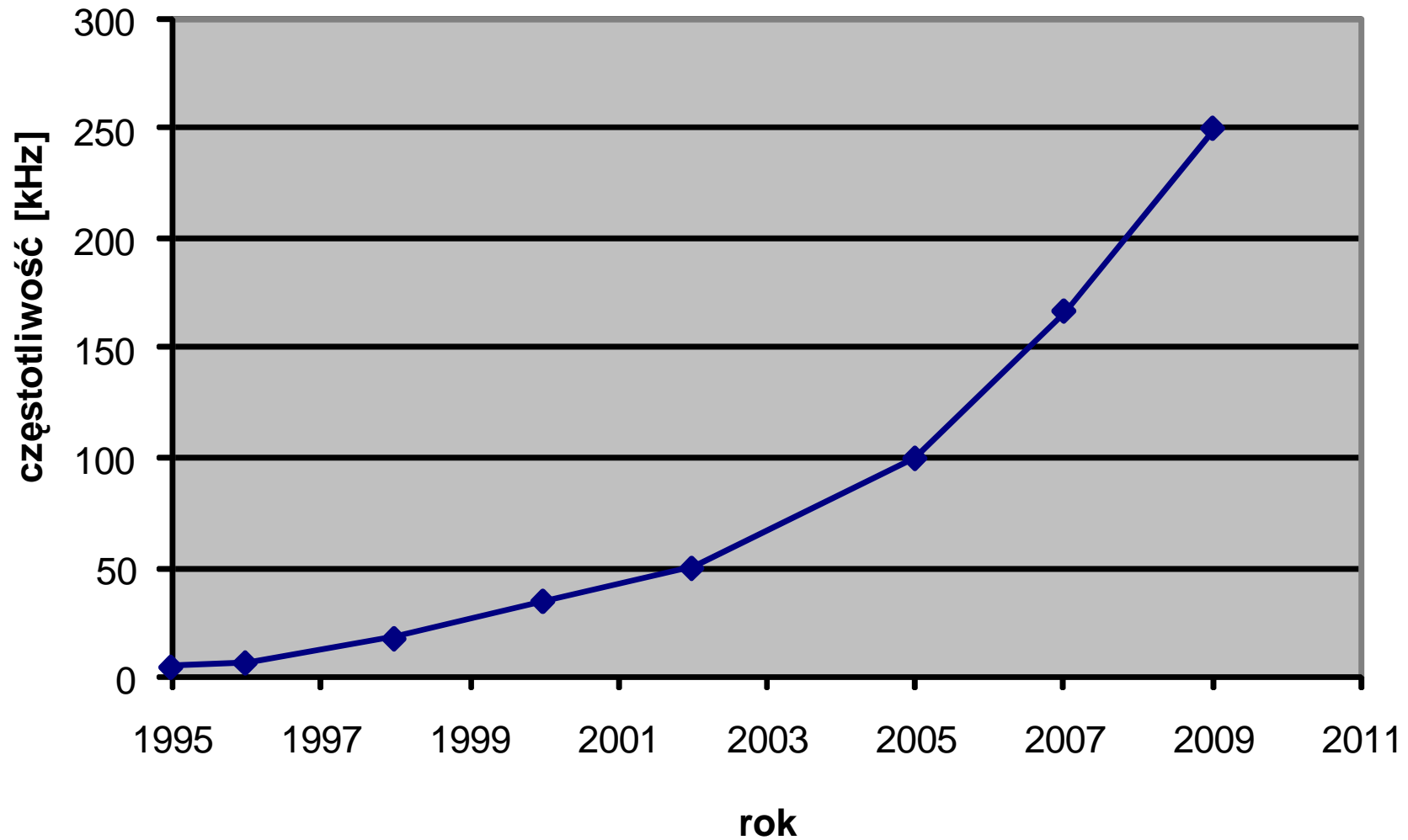
Scanning frequency - f_{sc}

Parameter determining amount of scanning lines obtained in 1 s:

Typical value $f_{sc} = 600$ Hz



FREQUENCY OF IMPULS CANNER





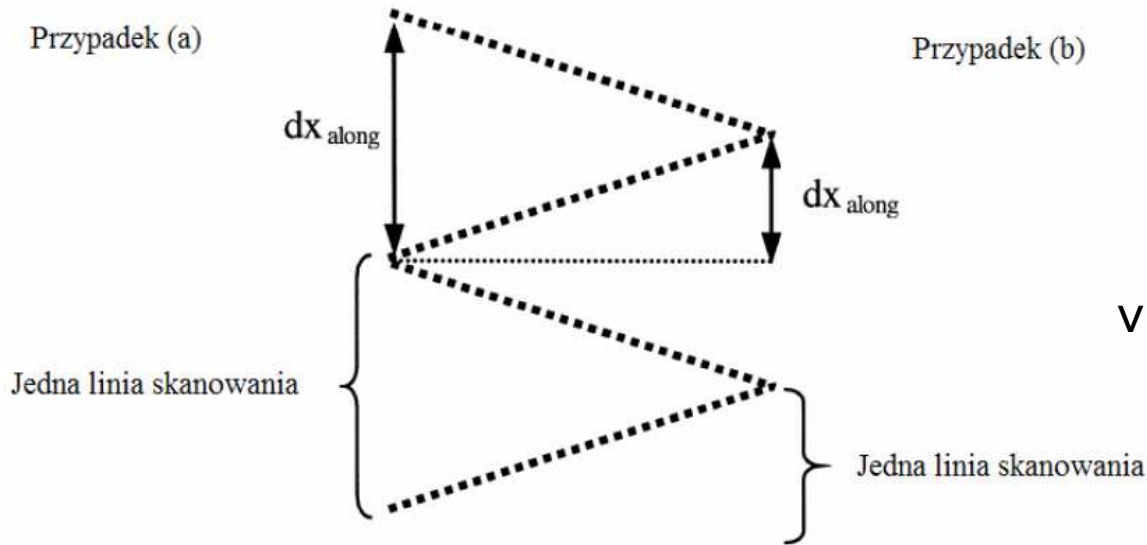
Number of points in scanning line

Is proportional to the frequency of laser impuls sending and inversely proportional to the number of scanned lines in 1 s.

$$N = \frac{F}{f_{sc}}$$

Mean distance between scanning lines along flying direction – dx_{along}

The distance for scans in zig-zag shape is measured between points registered in extreme laser beam inclination (case a) or between points measured in the same inclination (case b)



$$dx_{\text{along}} = \frac{v}{f_{sc}}$$

v – average flight speed

[Baltsavias E.P., 1999]



Mean distance between scanning lines along flying direction– dx_{across}

Assuming unique distance between next points in scanning line and flat terrain the parameter is determined by:

$$dx_{\text{across}} = \frac{SW}{N}$$

Required numbers of rows - n

$$(n-1) \geq (W - SW) / [SW \cdot (1 - q) / 100]$$

W – the minimum dimension of rectangular area covered by measurements
 q – lateral overlay (w %)



Density of points on unit area – d

Determined by average amounts of the points on unit area (m²).

It is depend from terrain denivelation and land cover (many reflectance registration).

$$d = \frac{F \cdot n \cdot T_s}{A}$$

T_s – time net of measurement of one row (not including back platform movements).

DIVERGENCE OF LASER BEAM

$$IFOV = 2.44 \cdot \frac{\lambda}{D}$$

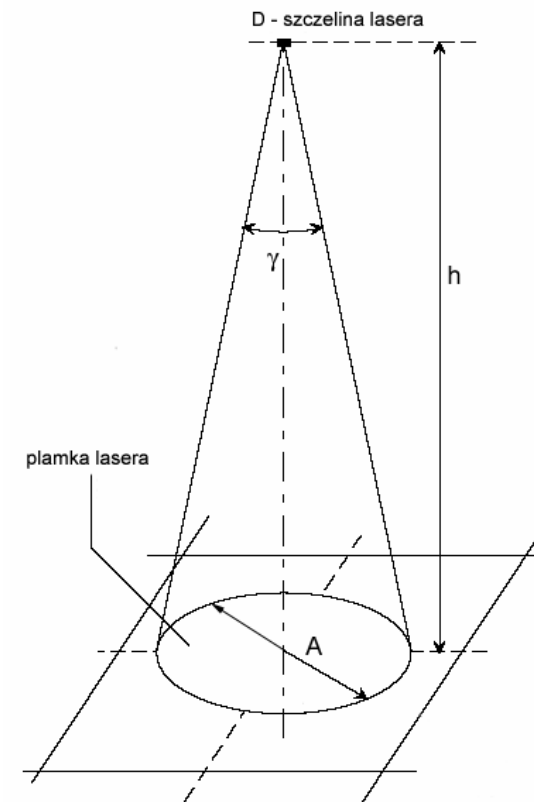
λ - wave length
 D - laser slot

Example:

$\lambda = 1064 \text{ nm}$; $D = 10 \text{ cm} \rightarrow IFOV = 0.026 \text{ mrad}$

Typical values:

$\gamma = 0.25 - 2 \text{ mrad}$



DIAMETER OF LASER DOT

$$A = D + 2h \cdot \operatorname{tg}(\gamma / 2)$$

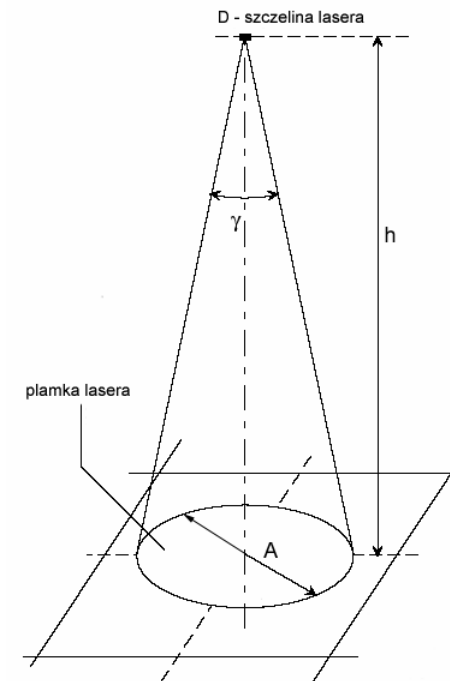
$$A \approx 2h \cdot \operatorname{tg}(\gamma / 2)$$

$$A \approx h \cdot \gamma$$

Example:

$$h = 750 \text{ m}; \gamma = 1 \text{ mrad}$$

$$A = 0.75 \text{ m}$$



POWER BALANCE

Received power:

$$P_r = \frac{A_r}{2\pi \cdot R^2} \cdot M^2 \cdot \rho \cdot P_t$$

Example:

where:

A_r – slot area

M – atmosphere transmission

R – distance

ρ – reflection coefficient

P_t – power sent

- $P_T = 2000 \text{ W}$
- Atmospheric transmission $M = 0.8$
- $A_r = 80 \text{ cm}^2$ ($D_r = 10 \text{ cm}$)
- $R = 1 \text{ km}$
- Reflectivity $\rho = 0.5$

$$\rightarrow P_r = 4 \cdot 10^{-10} P_T = 800 \text{ nW}$$

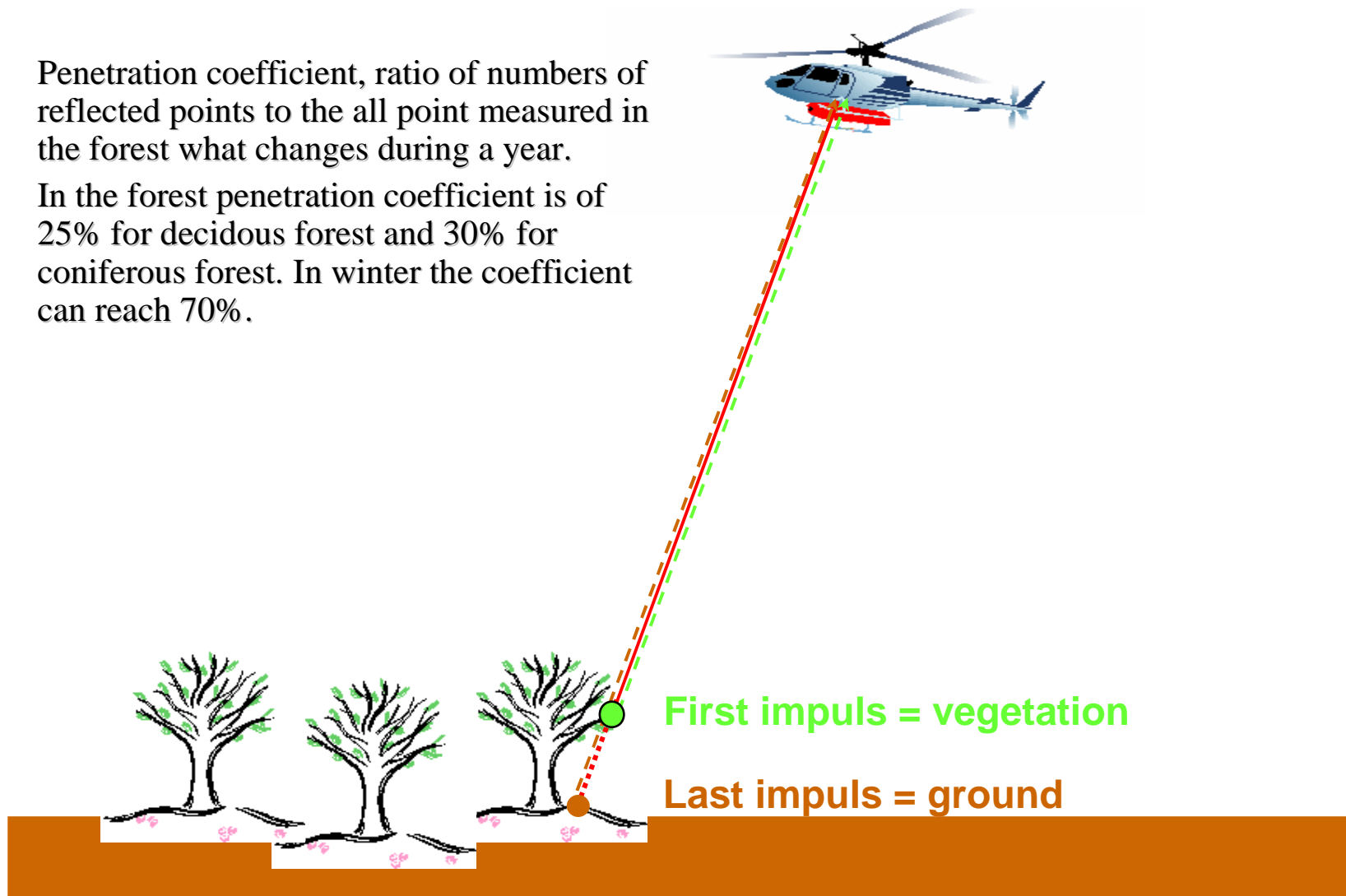


AGH

MULTI REFLECTION REGISTRATION OF SINGLE IMPULS

Penetration coefficient, ratio of numbers of reflected points to the all point measured in the forest what changes during a year.

In the forest penetration coefficient is of 25% for deciduous forest and 30% for coniferous forest. In winter the coefficient can reach 70%.





AGH

INTENSITY OF REFLECTED IMPULS

Laser scanner, besides X, Y, Z coordinate measurements allows also for registration of the energy reflected from the object. Intensity image can be compared with airborne image (with enough amount of points)



Reflection intensity:

Rodzaj powierzchni obiektu	Odbicie [%]
Drewno sosnowe, czyste, suche	94
Śnieg	80 – 90
Białe konstrukcje z kamienia	85
Wapień, glina	do 75
Drzewa liściaste	zwykle 60
Drzewa iglaste	zwykle 30
Skąła wapienna sucha	57
Skąła wapienna mokra	41
Piasek pustynny, plaża	50
Beton	24
Asfalt	17
Lawa	8
Guma syntetyczna	5
Woda	5

$$I_r = \frac{P \cdot \rho}{A}$$

P – power of the impuls

ρ – reflection coefficient

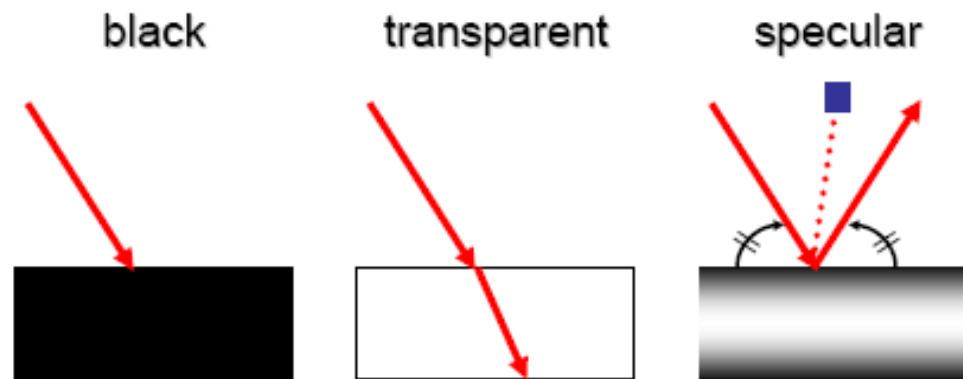
A – size of the area

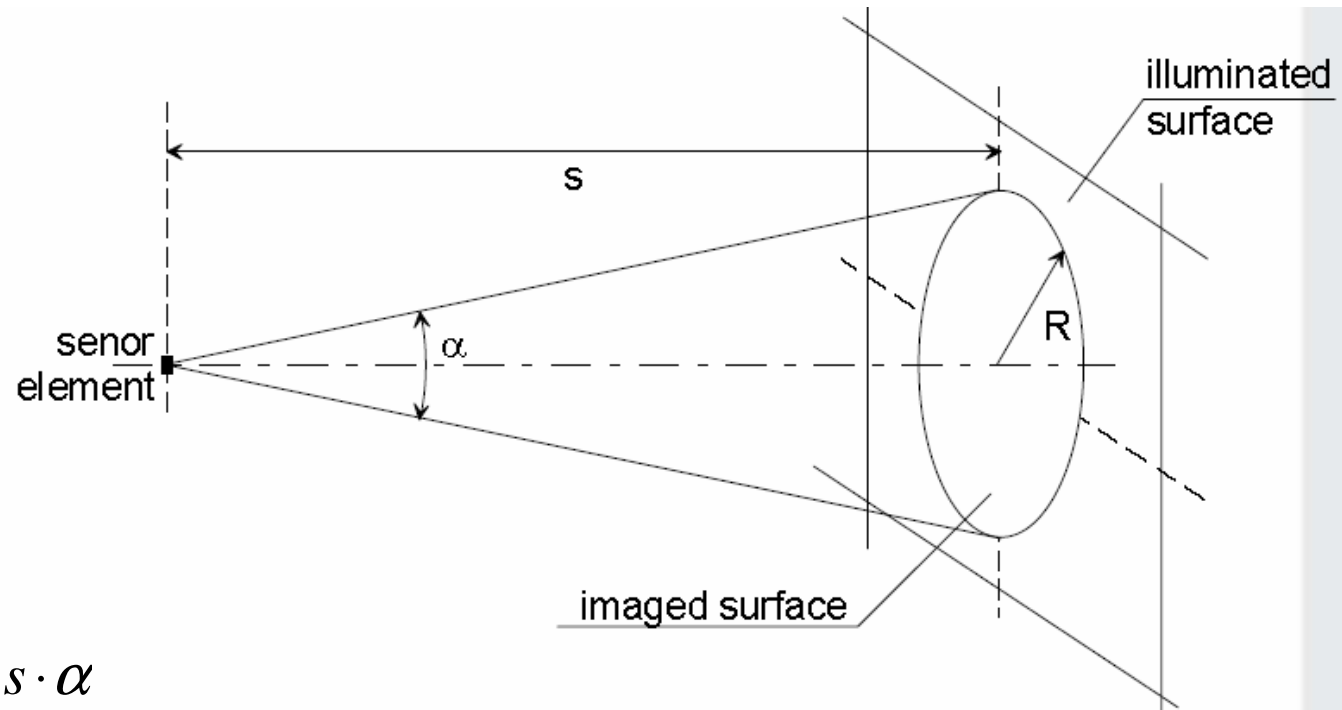
Detection is not possible if the area is:

black – highly absorbing

transparent – highly transmitting

mirror – highly reflecting





$$R = \frac{s \cdot \alpha}{2}$$

$$A = 2\pi \cdot R^2 \approx s^2 \cdot \alpha$$

Reflected intensity :

Measured intensity:

$$I_r = \frac{P \cdot \rho}{A}$$

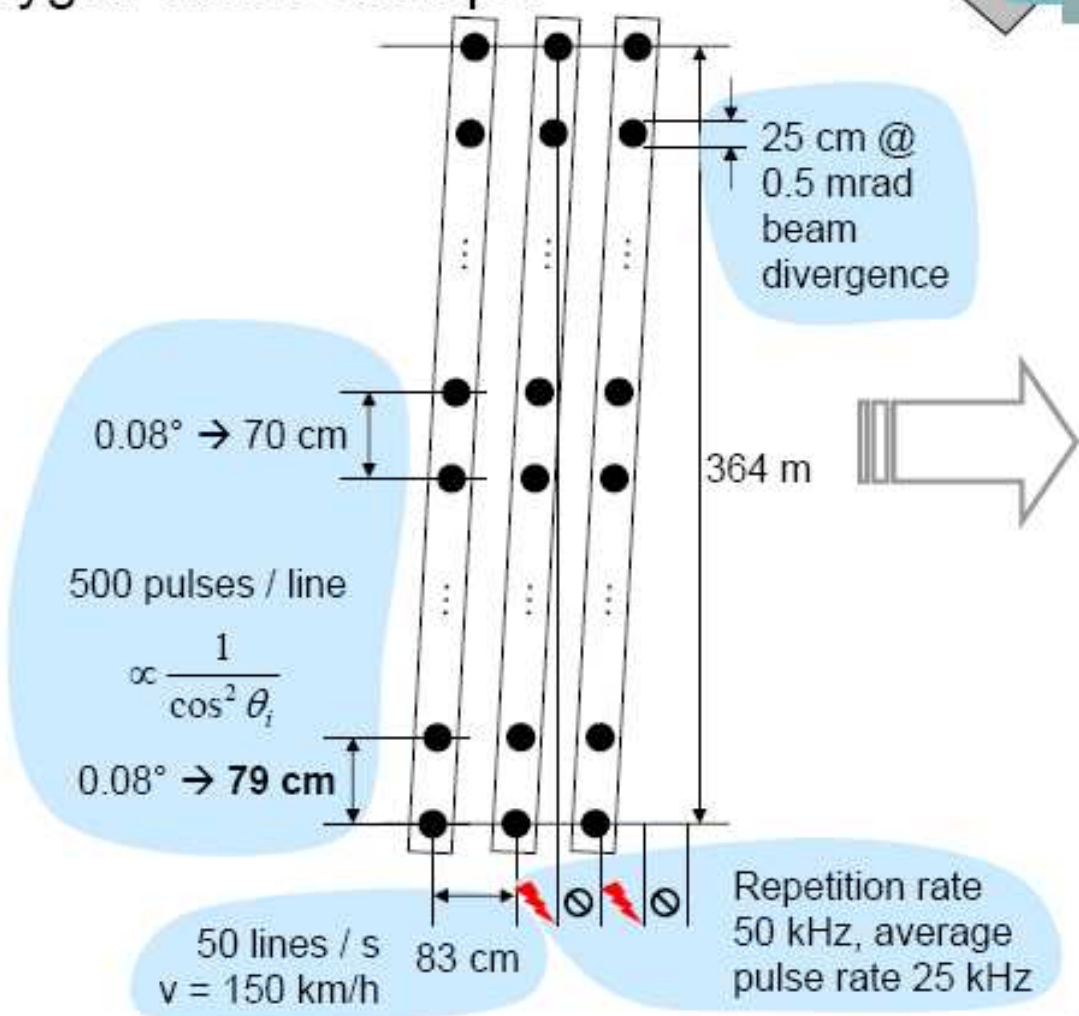
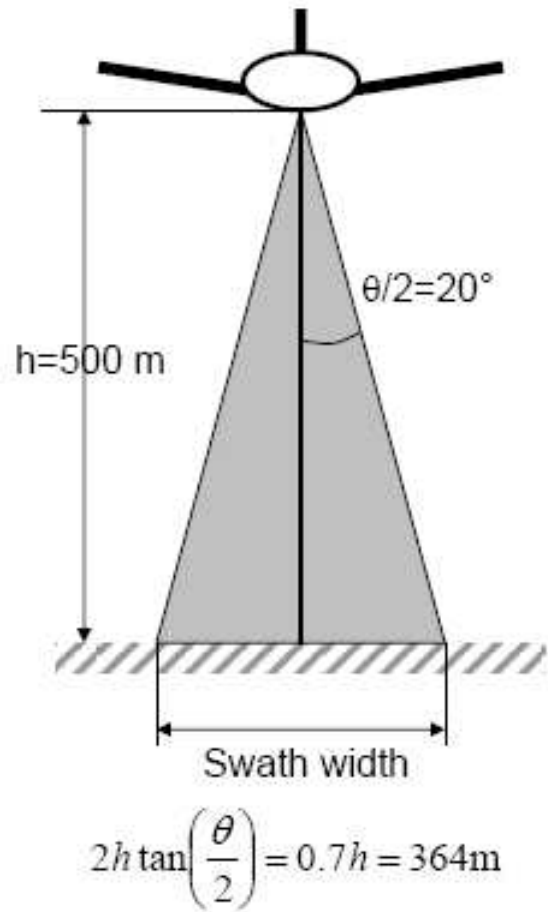
$$I_m \approx I_r \cdot \frac{R}{s}$$

$$I_r = \frac{P \cdot \rho}{s^2 \cdot \alpha}$$

$$I_m \approx I_r \cdot \alpha$$

$$I_m \approx \frac{P \cdot \rho}{s^2}$$

Polygon mirror example

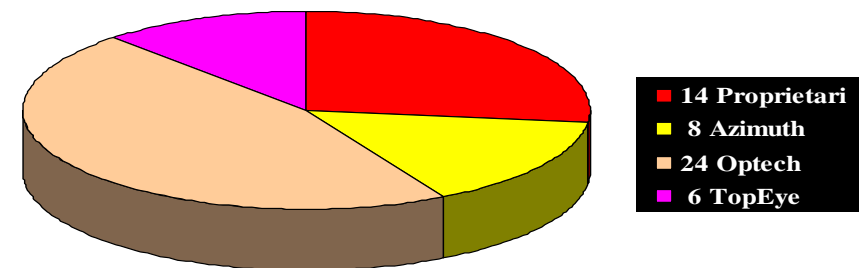


[Brenner 2006]



Commercial laser scanners

- **ALTM** company **Optech Incorporation** (Canada)
- **ALS** company **Leica Geosystems GIS & Mapping** (USA)
- **TopEye** company **TopEye AB** (Sweden)
- **ALTMS** company **TerraPoint** (USA)
- **FALCON** company **TopoSys** (Germany)
- **FLI-MAP** company **Fugro-Inpark**
- **LiteMapper** company **IGI mbH** (Germany)
- **GeoMapper 3D** company **Laseroptronix** (Sweden)
- **LMS** company **Reigl** (Germany)





ALTM GEMINI 167

AG Optech Inc. - Canada

FALCON III

Toposys – Germany

Laser repetition rate	33 - 167 kHz	50 -125 kHz
Operating altitude	80 to 4,000 m (higher altitude optional)	30 to 2 500 m
Horizontal accuracy	1/11,000 x altitude; ± 1 -sigma*	< 0.20 m
Elevation accuracy	5 - 10 cm typical; ± 1 -sigma	< 0. 10 m
Range capture	Up to 4 range measurements for each pulse, including last	Up to nine per pulse
Intensity capture	4 intensity readings with 12-bit dynamic range for each measurement	12 bit dynamic range
Scan frequency	Variable to 100 Hz	165 Hz to 415 Hz
Scan angle	Variable from 0 to $\pm 25^\circ$, in increments of $\pm 1^\circ$	28°
Spot distribution	Sawtooth, uniform spot spacing across 96% of scan	Swing mode



ADVANTAGES

Independence of illumination conditions

Laser rangefinder is an active system, so independent of illumination conditions

Dalmierz laserowy jest systemem aktywnym co czyni go całkowicie niezależnym od warunków oświetleniowych.

Significant independence of meteorological conditions

Registration is possible almost in any weather, only strong rain and fog (limiting laser penetration) can be an obstacle. It means in our climatological conditions almost half of a year is „flying”. It is significant alternative to the airborne images.

Very high accuracy of measurements data

Based on the experience error of the height (understood as mean error) is :

$mz = 0.15 - 0.25 \text{ m.}$

Short time of the end product receiving and relative low costs



OPPORTUNITIES OF LASER SCANNING TECHNOLOGY IN COMPARE TO THE TRADITIONAL PHOTOGRAMMETRIC METHODS

- independence of weather
- possibility of measurements during a day and night
- small amount of GCP
- high density of the points
- measurements of the terrain covered by vegetation



DISADVANTAGES

- Laser impuls absorption by water, asphalt and pitch
- Laser impuls absorption by dense clouds and fog
- Large volume of the data



DISADVANTAGES OF LASER SCANNING TECHNOLOGY IN COMPARE TO THE TRADITIONAL PHOTOGRAMMETRIC METHODS

- control areas are necessary (on by one row)
- measurements of single high points, information about the structures of topography is missing
- generation of DSM instead of DTM
- additional data are necessary as additional materials (e.g. orthophotomaps)
- computers of large computing power are needed
- high cost data processing



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EFFICIENCY AND COSTS

Proper for large area

- Compact area – $> 5 \text{ km}^2$
- Corridor area – $> 15 \text{ km}$

Data filtration – automatic in 90%

Costs of data processing – 60-80% of total costs

Price:

- Equipment – 0.8 – 2 mln USD
- DTM creation – 150-300 USD/km²

Efficiency:

- till 100 km²/ one hour flight
- Data edition time consuming

SOURCES OF THE ERRORS

Laser rangefinder

S/N, laser beam divergence, inclination of detector axis

GPS

Satellite distribution and number of satellites, reference station location

INS

Drift error (decrease of accuracy of angle measurements in time)



Laser equipment GNS/INS common axis missing

Time synchronisation



GPS and INS data interpolation is needed

TYPES OF ERRORS

Random errors:

- Divergence of the laser beam
- Atmosphere transmittance
- Land cover type

Systematic errors:

- Inclination of the laser detector axis
- Lack of common axis instruments
- INS drift
- Data integration (synchronization, transformation between sensors, transformation into the local coordinate system)
- Slope of terrain



ERRORS ELIMINATION

- Maintenance of the high stability and high time synchronization
- Calibration of laser equipment, common axis GPS/INS
- Proper satellite distribution, optimal distance from reference station, small turbulence



SYSTEMATIC ERRORS STILL PRESENT

VALUES:

Distance measurements	5 cm
Error of angle measurement	0.02° – 0.03°
GPS i INS	3 cm / 0.01°
dGPS error	10 cm
INS drift	0.01°

DATA ADJUSTMENT NEEDED



SUMMARY:

Accuracy of laser equipment: **2 – 5 cm**

GPS accuracy (assuming proper reference station and satellites distribution) :
5 – 7 cm

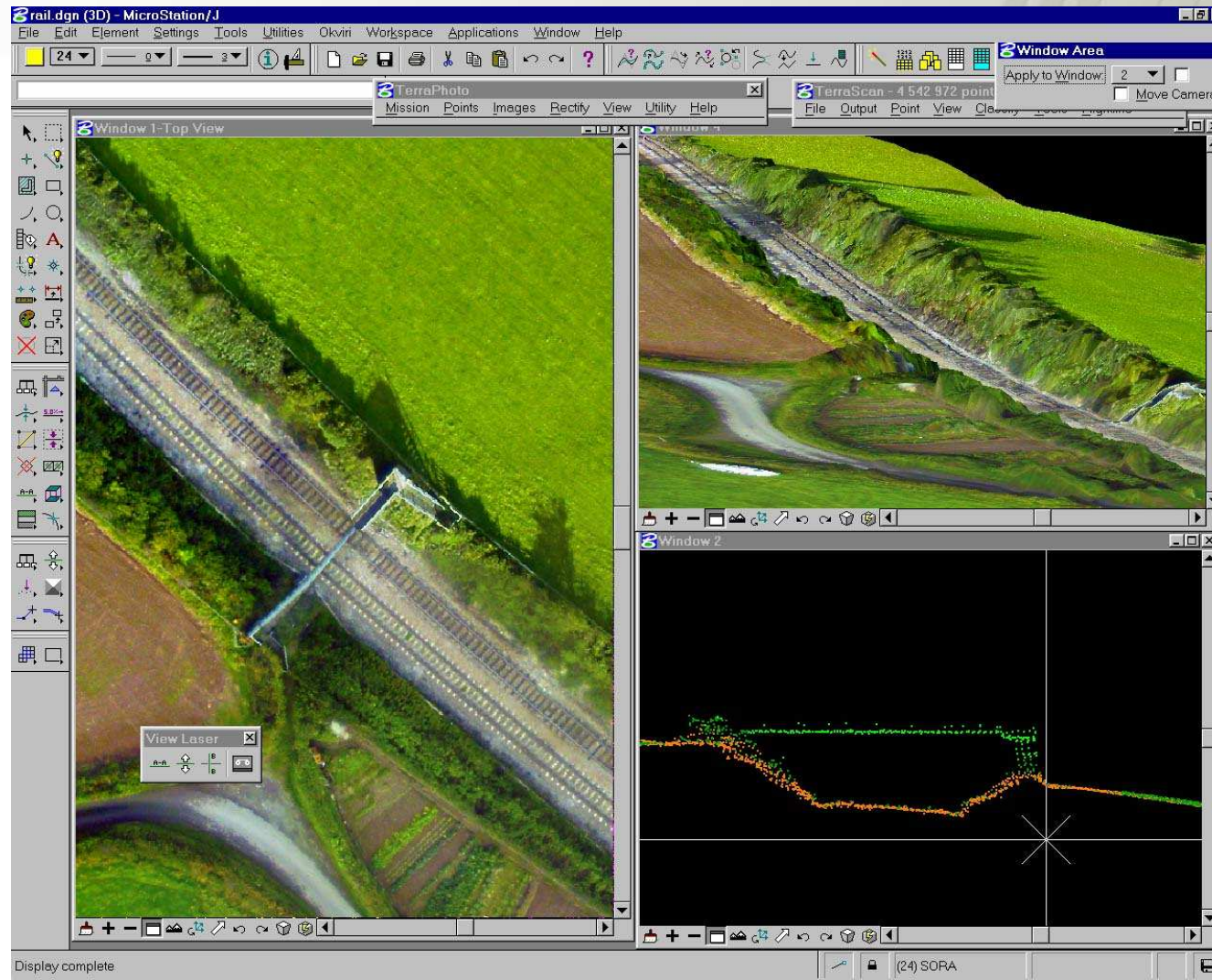
Final hight accuracy depends on many factors (among others flight hight)
For the most systems are not worse then:
 $\pm 15 \text{ cm}$

Final hight accuracy : $1/10000 W_{\text{lotu}}$ $W_{\text{lotu}} < 1000 \text{ m}$



APPLICATION OF LASER SCANNING

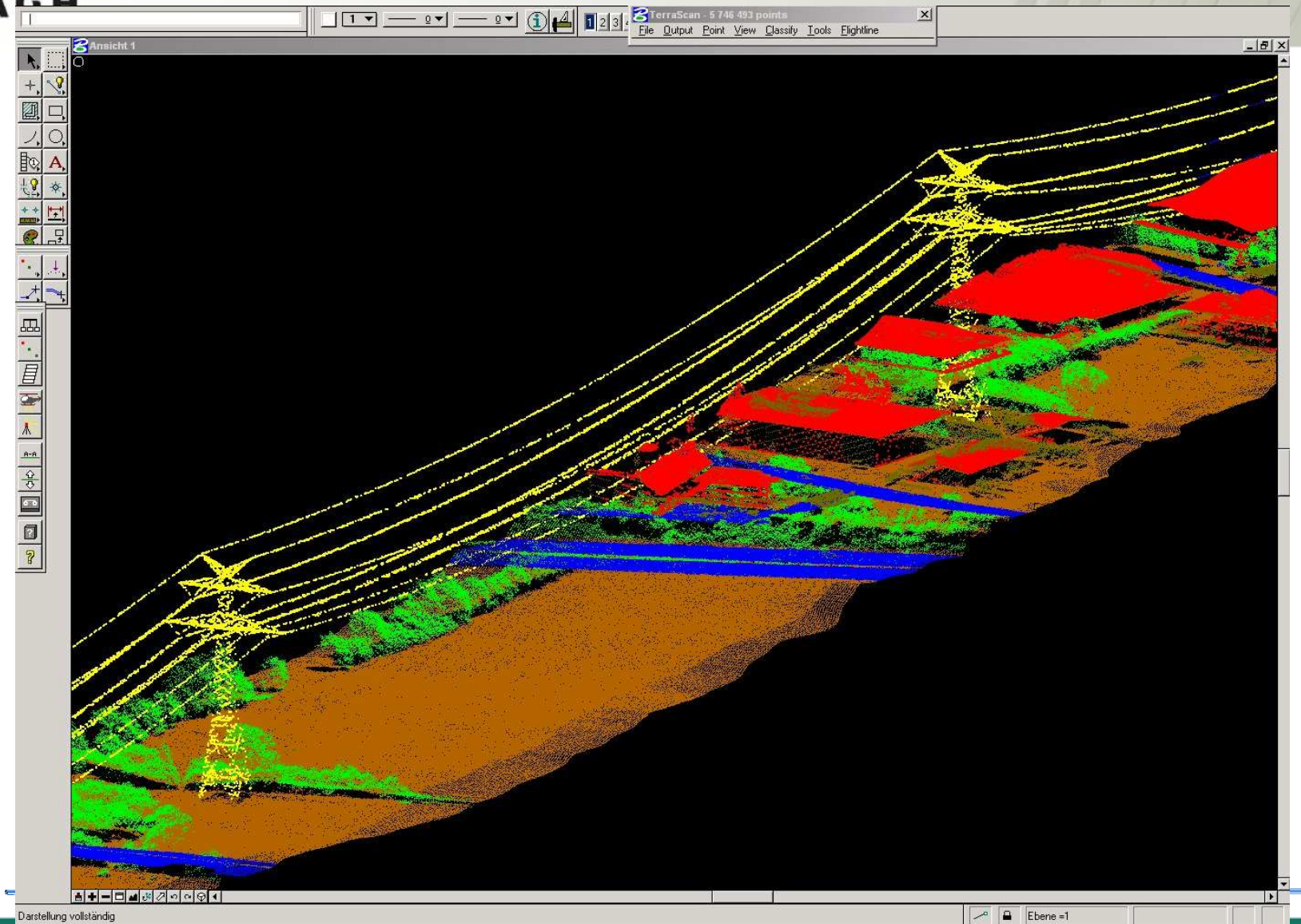
ROADS, RAILWAYS, PIPELINES DESIGN





REJESTRAION OF HIGH TENSION LINES

Collision with trees



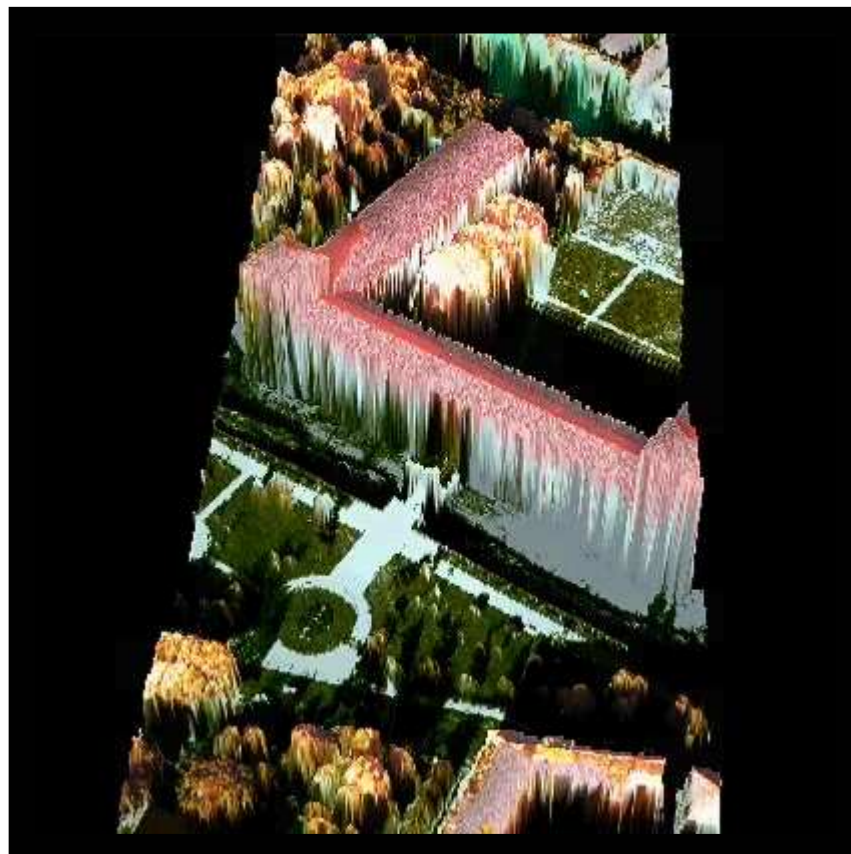
Darstellung vollständig

Ebene =1



CITY 3 D

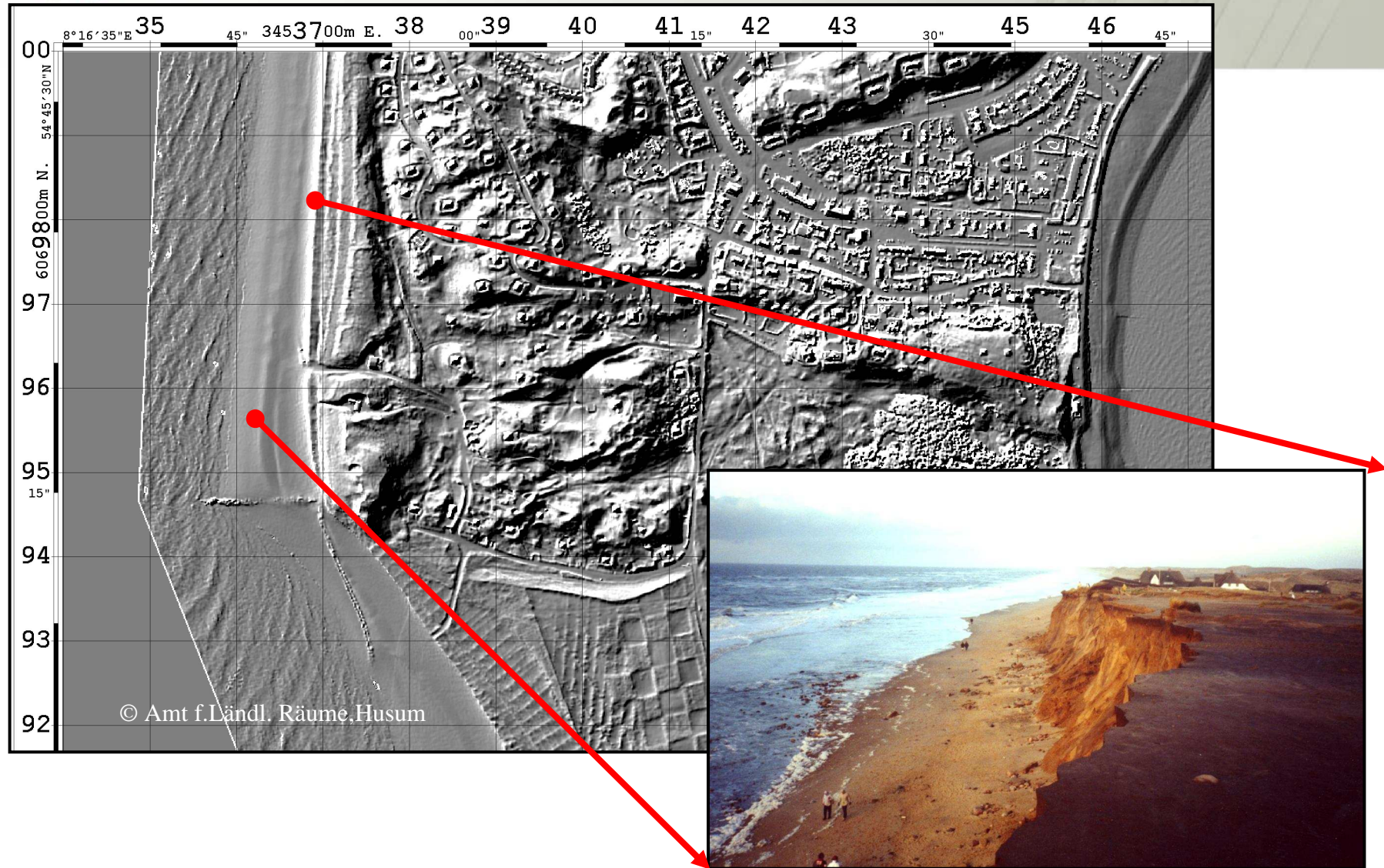
Antenna location, spatial planning, noise and pollution dispersion



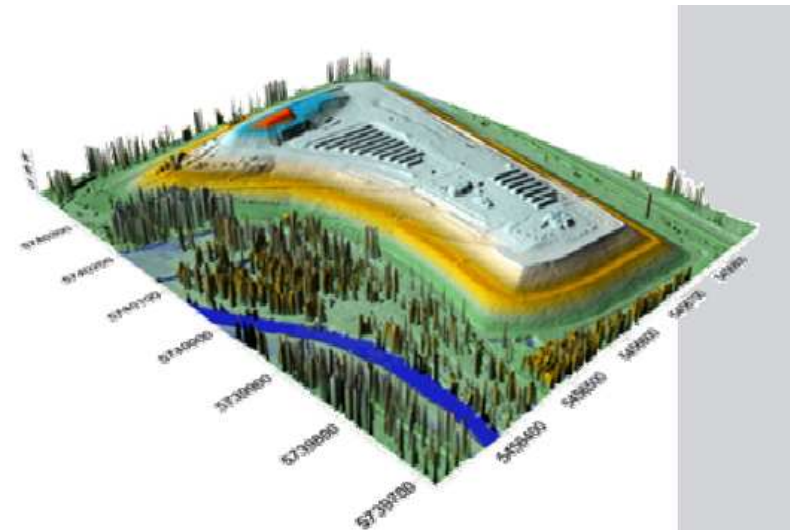


SEASHORE MEASUREMENTS

Change analysisi



GROUND VOLUME DETERMINATION IN OPEN PIT MINE OR WASTE DAMP

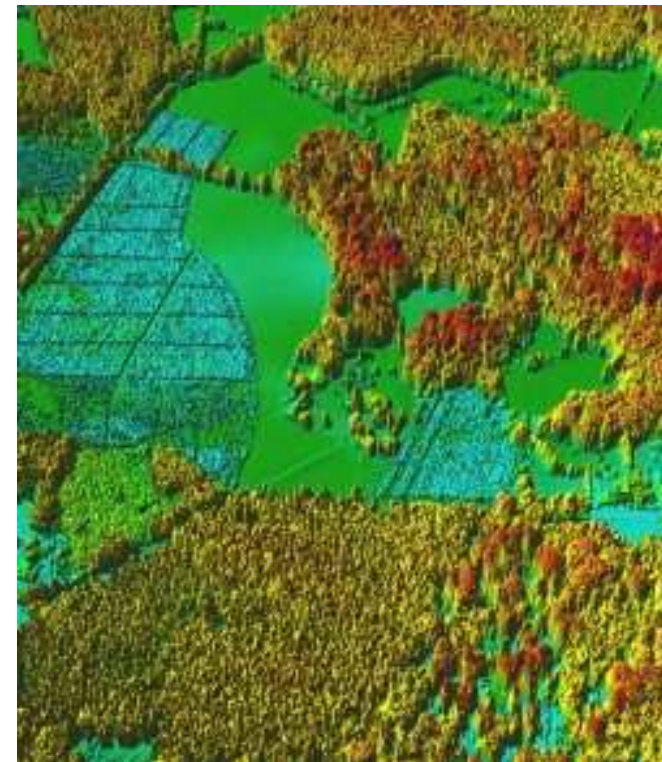
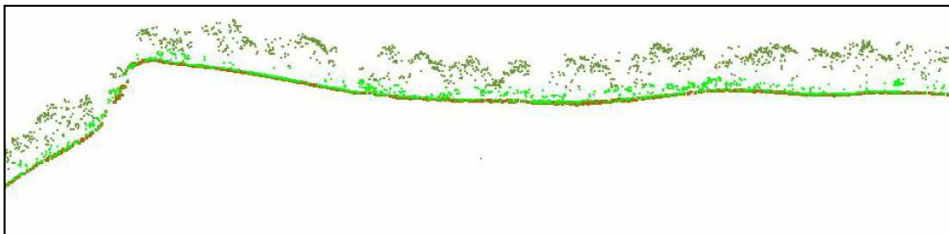


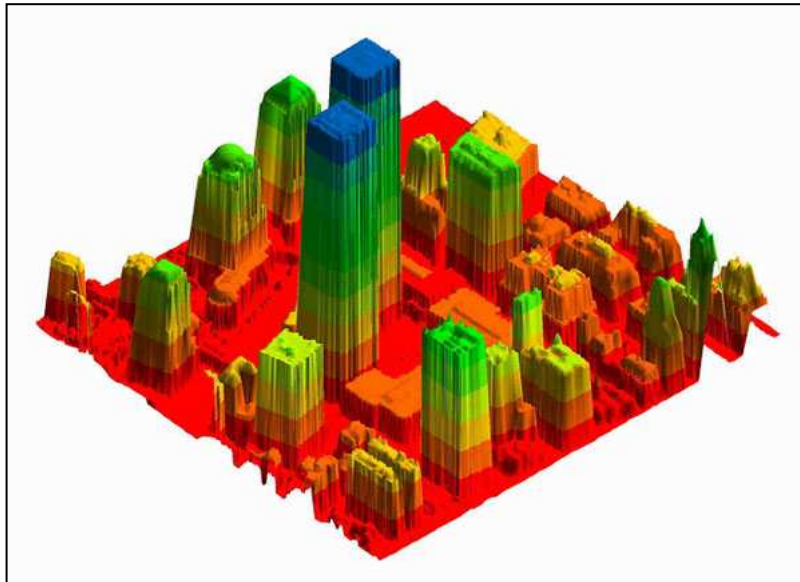
Open pit mine Janschwalde, Niemcy



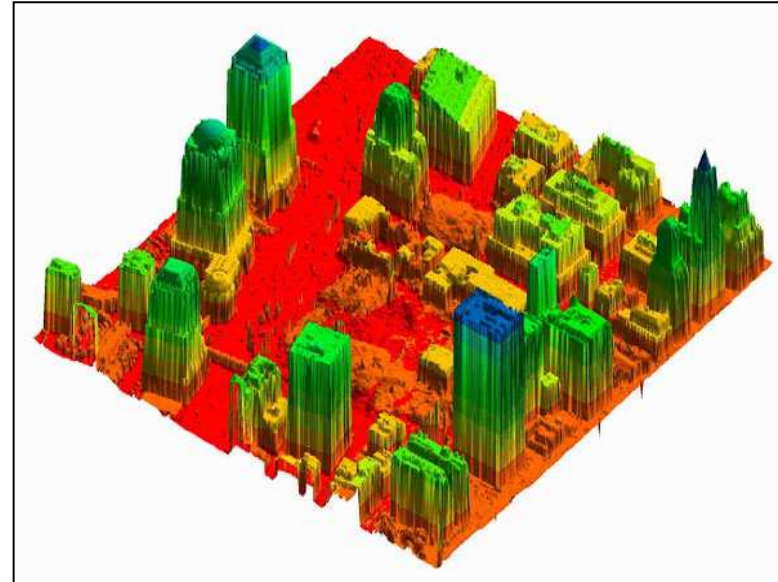
Vegetation analysis

Height of trees, crone diameter, forest density, biomass estimation



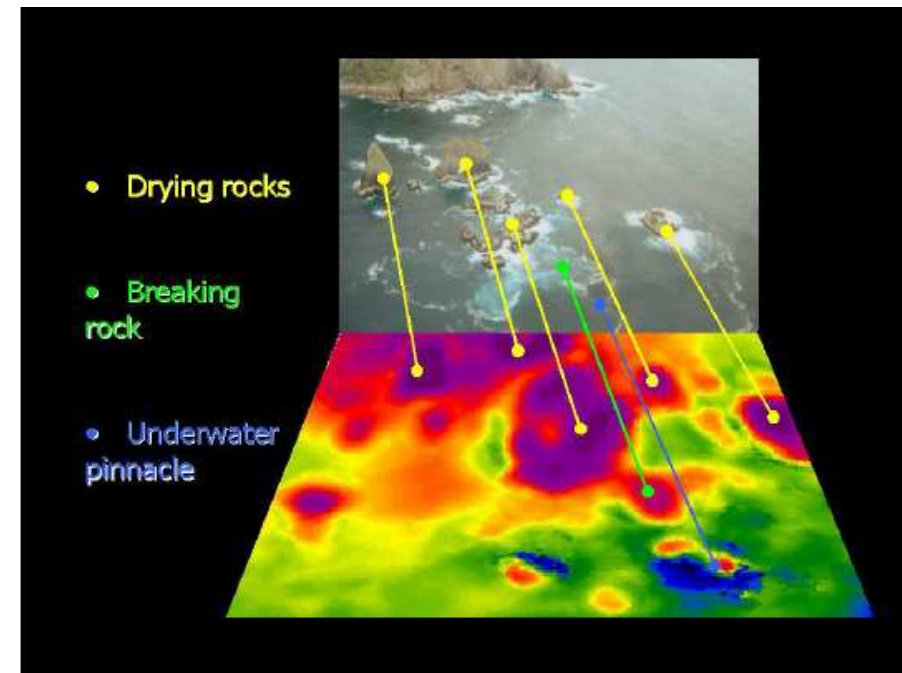
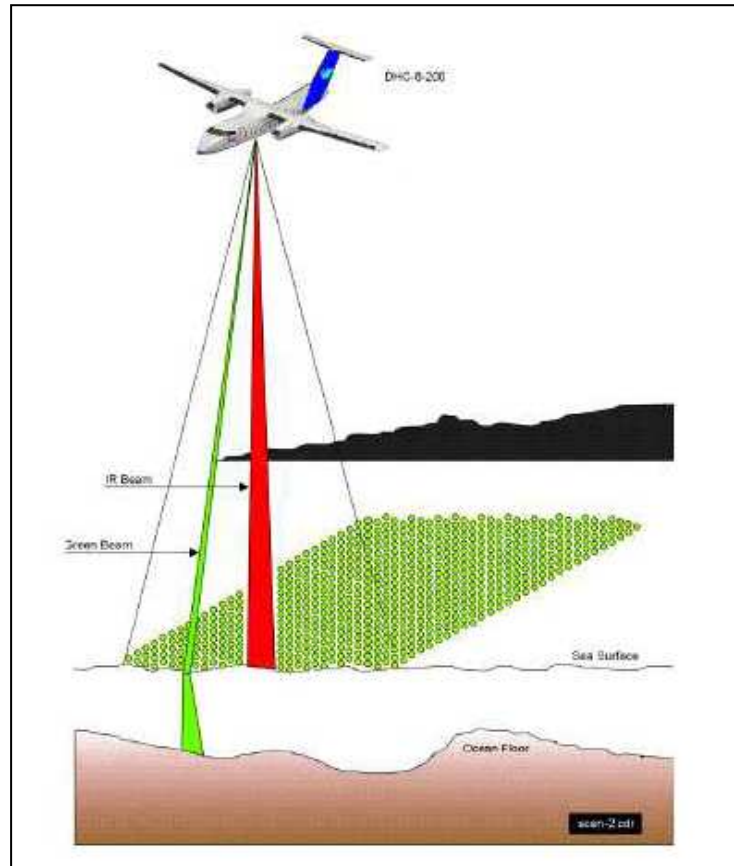


July 2001



15 September 2001

HDROGRAPHICAL MEASUREMENTS



STEPS OF THE PROJECT

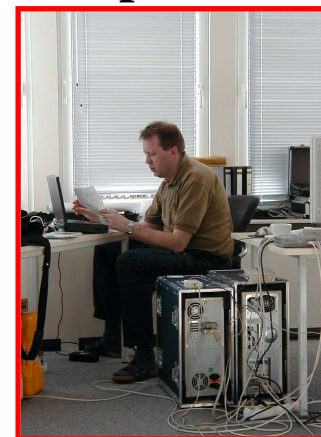
flight-planning



**Data
acquisition**



Data processing



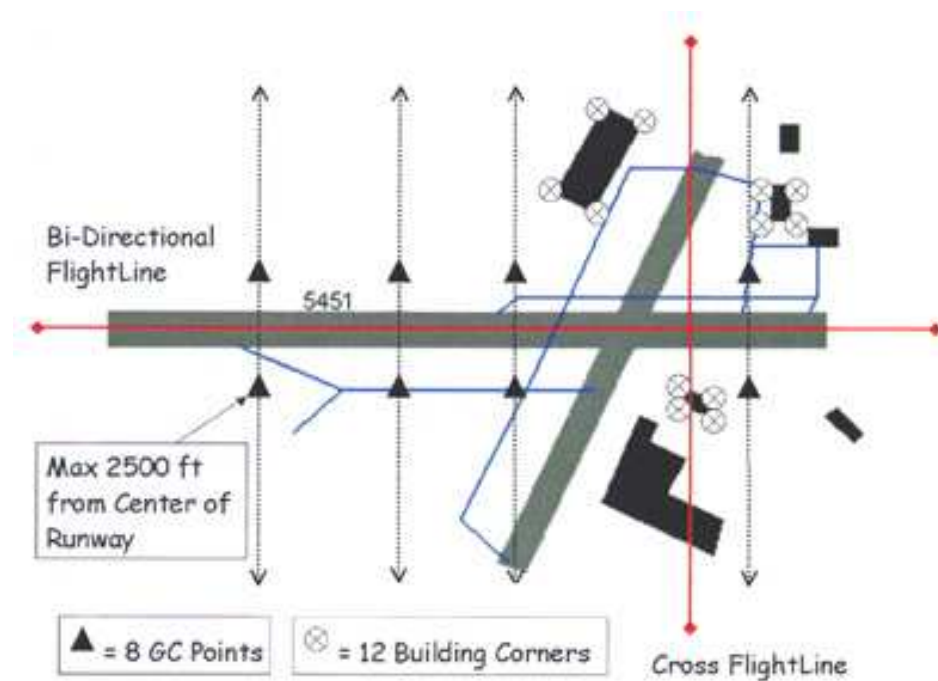
Flight plan

Flight planning and realization is similar to the photogrammetric one.

In initial phase calibration of laser equipments, GPS and INS are performed.

- flight in two opposite directions
- additional perpendicular flight

Calibration. Źródło: EarthData International



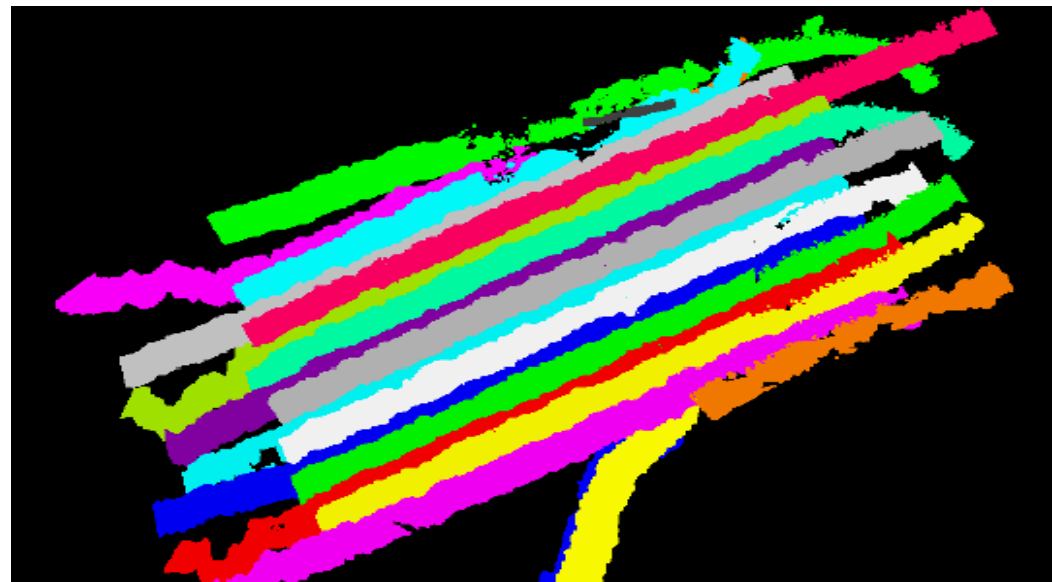
On the area on point or points of known coordinate – terrestrial, reference GPS station.



Optimal parameters determination :
Platform selection (ex. flight height and speed)
Laser equipment (i.e. frequency of laser impuls).

Elongated object (energetic line, river, pipeline) – one row

Surface object – parallel rows with lateral overlap of 30%



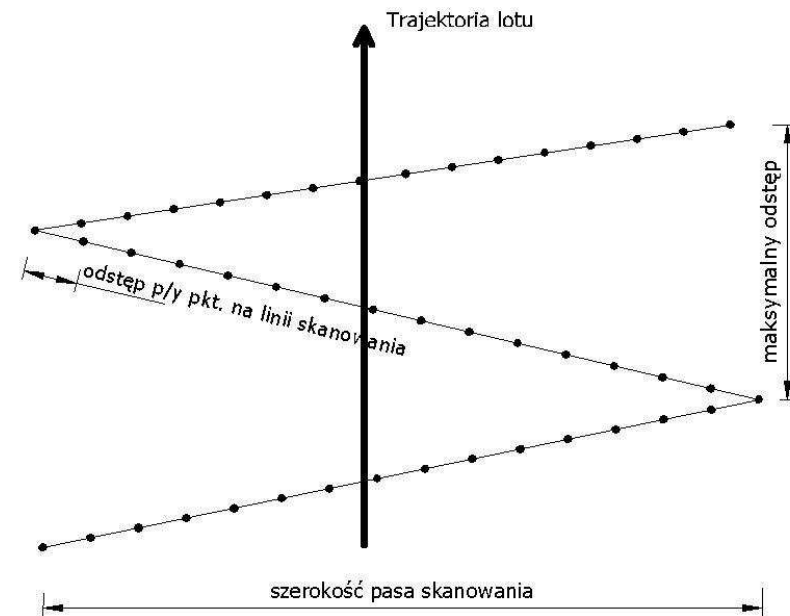
Data recorded in campaign:

- data from GPS reference station,
- data about the flight parameters
- data of scanned area from alser scanning

Patter of data depends on scanner type

:

- zig - zak,
- parallel pattern,
- eliptical,
- sinusoidal.





BASIC PRODUCTS OF LASER SCANNING

- Numeryczny Model Pokrycia Terenu (NMPT)

ang. DSM – Digital Surface Model

- Numeryczny Model Terenu (NMT)

ang. DTM – Digital Terrain Model



DATA PROCESSING

FILTRATION

Laser measurements delivers information in „points cloud” about ground and land cover, building and trees as well. Extraction of DTM (ground surface is significant problem.

DSM

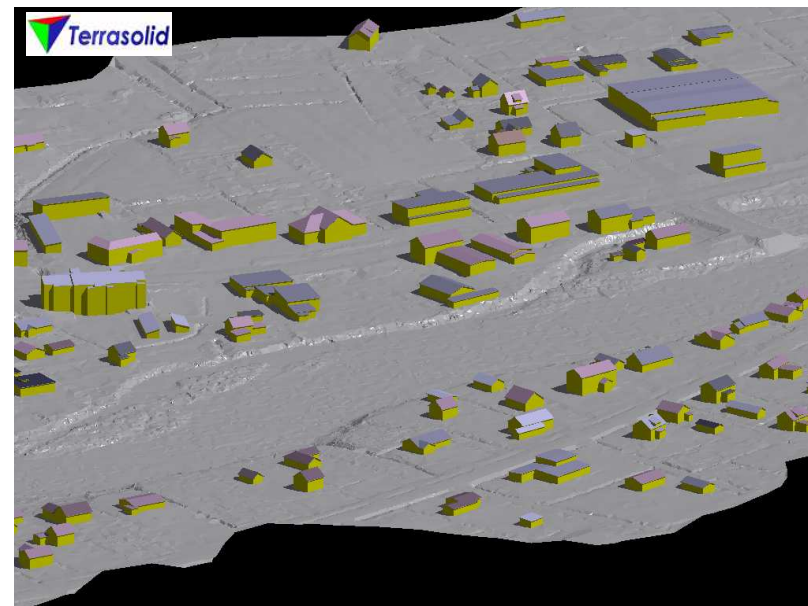
FILTRATION



DTM



Wysoka częstotliwość skanowania, pozwala na określenie położenia budynków i ich wizualizację.





PODSUMOWANIE I PRZEWIDYWANIA NA PRZYSZŁOŚĆ

Rozwój technologii lidarowej w najbliższych latach przewidywany jest w pięciu głównych kierunkach:

- zwiększenie gęstości danych (zwiększenie częstotliwości impulsu lasera)
- rozwój oprogramowania do przetwarzania danych
- zwiększanie zakresu rejestrowanej fali
- laserowe pomiary wodne i podwodne
- rozwój platform satelitarnych



END